
Encounter Data User Group Q&A Documentation

Questions and Answers – March 15, 2012 Live Session

Q1: What are the requirements for volume and timeliness of submission of production data once MAOs and other entities have achieved end-to-end certification?

A1: The frequency requirements for encounter data submission for MAOs and other entities:

- MAOs and other entities with greater than 100,000 Medicare enrollees should submit encounter data on a weekly basis.
- MAOs and other entities with 50,000 – 100,000 Medicare enrollees should submit encounter data on a bi-weekly basis.
- MAOs and other entities with less than 50,000 Medicare enrollees should submit encounter data on a monthly basis.

These are the minimum submission requirements. MAOs and other entities may submit production data with 2012 Dates of Service (DOS) more frequently if it is available. CMS will coordinate with organizations with larger volumes of data in order to manage the submission process.

Q2: MAOs and other entities are receiving 999R reports due to situational loops and segments in the provider secondary ID REF segments. However, the TR3 (Implementation Guide) allows for these loops and segments. How will CMS reconcile this?

A2: CMS is aware of this issue and is evaluating a resolution. 999R reports will continue to be distributed until a solution is determined. CMS will publish final guidance at www.csscooperations.com.

Q3: What is the effective date for True Coordination of Benefits (COB) guidance modifications that have been published in the February 2012 Companion Guide?

A3: The True COB guidance posted in the February 2012 Professional Companion Guide became effective March 7, 2012.

Q4: Will the Minimum Data Elements be used to identify paper (skinny) claims?

A4: CMS will provide guidance regarding how MAOs and other entities will identify paper (skinny) claims. These elements should be used for the submission of member reimbursement claims, foreign provider claims, and other claims that do not conform to the requirements of the 5010 format.

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Q5: How should MAOs and other entities submit encounters that have 2012 DOS and are received in the 4010 format?

A5: Several 4010 crosswalk files were utilized in the development of the Minimum Data Elements used to determine requirements for the 5010 format. These minimum data elements are what MAOs and other entities should use to populate the required fields in the 5010 format. For assistance with conversion of 4010 format to 5010 format, please refer to the following CMS links:

- Professional 4010 to 5010 Crosswalk:
<http://www.cms.gov/MFFS5010D0/Downloads/ProfessionalClaim4010A1to5010A1.pdf>
- Institutional 4010 to 5010 Crosswalk:
<http://www.cms.gov/MFFS5010D0/Downloads/InstitutionalClaim4010A1to5010A2.pdf>

Q6: Due to the extension of the non-enforcement date for the HIPAA 5010 format to June 1, 2012, will CMS consider extending the parallel testing date to June 1, 2013 to allow time for MAOs and other entities to convert the 4010 format to the 5010 format?

A6: The non-enforcement date for the HIPAA 5010 format is currently June 1, 2012. CMS will notify the industry if any revisions to this date occur. Parallel testing planning will be communicated during future training.

Q7: How should MAOs and other entities reconcile the 03102 edit – “Provider Type or Specialty Not Allowed to Bill Procedure” erroneously received on the MAO-002 reports from the Encounter Data Processing System (EDPS)?

A7: MAO-002 reports will be reissued after edit code 03102 has been resolved. MAOs and other entities are not required to resubmit the encounters containing edit code 03102 until they have received the reprocessed MAO-002 Reports. MAOs and other entities should not submit any file two (2) test cases until the reissued reports have been received and reconciled.

Q8: Will CMS perform a separate testing for Minimum Data Elements?

A8: Minimum Data Elements are a subset of the elements found on a regular claim and are incorporated as part of end-to-end testing. There is no separate testing for these elements. MAOs and other entities may choose to submit minimum data elements encounters during the Tier 2 End-to-End testing, which begins on March 15 2012 and concludes on April 30, 2012.

Q9: When will Institutional and DME test cases become available for MAOs and other entities?

A9: CMS is in the final phase of review of the test cases for Institutional and DME encounter data. Once final decisions have been made, operational guidance will be made available for MAOs and other entities.