



**April 2007  
Risk Adjustment  
User Group  
Meeting Notes**

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**Meeting Date:** April 18, 2007

**Meeting Time:** 1:30 p.m. – 2:30 p.m. EST

**In Attendance:**

**Panelists:** Sean Creighton, Louis Johnson, Mary Guy, Melissa Starry,  
Joyce Pedigo, Sheila Young, Tiffany Valery

*(Participants should reference the PowerPoint slides when reviewing the notes from the User Group Session.)*

**Topics:**

**Payment Process**

**Sweep Date Definition**

Sweep Date is the date that CMS collects all the data submitted to RAPS for processing of the risk adjustment run at that particular time. For example, the sweep date associated with the final 2006 submissions, which included all data submitted by the submission deadline of January 31, 2007, was on February 16, 2007. CMS only guarantees that data submitted by the submission deadline is included in the sweep. There is no guarantee that data submitted between the submission deadline and the sweep date will be included in the risk adjustment run.

**Submission Deadline**

The next submission deadline is September 7, 2007, for initial 2008 payment. Plans need to submit data quarterly, but it is recommended to submit more often, to be assumed of submitting accurate and timely data.

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### 2004 and 2005 Reconciliation Model Output Reports (MORs)

The 2004 and 2005 reconciliation Model Output Reports (MOR) are scheduled to be sent to the plans with the July payment. If plans have any issues with the 2005 and 2006 final reconciliations, please contact Chanda McNeal at [chanda.mcneal@cma.hhs.gov](mailto:chanda.mcneal@cma.hhs.gov).

### 2005 ESRD Reconciliation

CMS is in the process of making software fixes (Release 4 and 4x) to the payment system. The Release 4x include fixes for ESRD. Once CMS updates the payment database to reflex the software changes. CMS will run the final ESRD reconciliation for 2005 and 2006. CMS anticipates this occurring in July 2007.

## **Data Validation**

### Calendar Year 2005

CMS has sent 50 percent of the medical records to the Second Validation Contractor (SVC) and expects to send the remaining 50 percent by the end of April.

During the medical record review, reviewers have identified an issue with determining credentialing of providers that signed medical records for face-to-face visits. Mary Guy of CMS will email requests within 2 weeks to any plan needing to submit additional information to confirm provider credentials for risk adjustment.

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### Calendar Year 2004

The QA process of CY 2004 is still ongoing. CMS anticipates communicating the results to MA organizations in June 2007.

### Recommendations for MA Organizations

CMS recommends that MA organizations continually conduct internal medical record reviews and implement internal data validation processes prior to possible selection for data validation by CMS.

The medical records do not have to include ICD-9-CM codes, but must include appropriate providers' signatures and/or narrative documentation to support the ICD-9-CM code.

### **Operations Update**

#### 502 Duplicate Errors & 5% Benchmark Calculation

Plans receive 502 errors for a duplicate diagnosis cluster when a diagnosis cluster is submitted that includes all of the same attributes of a diagnosis cluster already stored in the RAPS database. The five attributes are:

- HIC number
- From date of service
- Through date of service
- Provider type
- Diagnosis code (ICD-9-CM)

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Plans can submit up to 10 diagnosis clusters in one detail record for the same beneficiary.

Plans can locate tips about 502 errors at

<http://www.csscooperations.com/new/references/cmsinstructions.html>.

The benchmark of 5 percent is based on the total number of 502 errors received per contract number per file submitted.

CMS will conduct weekly reviews of the number of 502 errors received per contract from the daily file submissions to determine which plans receive compliance letters.

### File Size Limitation

CMS is requesting files submitted for risk adjustment are limited to 1 million CCC (detail) records per day per submitter. This request is voluntary and is for all Connect: Direct, FTP, GENTRAN, and Secure Website submitters. CMS is making this request to reduce processing time that large files require.

If submitters are going to submit files with greater than 1 million records in a day, CMS requests that the plan inform the Customer Service and Support Center (CSSC) in advance so that the CMS data center can be notified to expect the large file, and if necessary schedule the submission. We may request that you schedule the submission on another day or days.

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**Training Update**

**Regional Training**

Registration is open for regional training. The schedule of dates and locations are as follows:

<b>Dates</b>	<b>Location</b>
June 18-22, 2007	Chicago, IL
June 25-27, 2007	Baltimore, MD
August 7-9, 2007	Las Vegas, NV

Individuals can register at [www.medicaretraining.net](http://www.medicaretraining.net) under the About Training section on the website.

There are a limited number of hotel rooms available for each location at the government per diem rate. Once an individual has registered and received a confirmation, contact the hotel to make a reservation using the group code provided on the confirmation.

**Next User Group meeting scheduled:** Wednesday, May 16, 2007.

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