

Q& A for the Plan to Plan (P2P) Phase III PDE Update Processing

Question: When will CMS distribute the Special Return file and the monthly reports that display P2P Phase III processing?

Answer: CMS plans to distribute the July monthly reports (P2P and the Cumulative Beneficiary Summary Reports) on the same day as the special Return file, probably the first or second week of September. The PDEs processed in the P2P Phase III update process will be included in the July 2007 monthly reports for Benefit Year 2006. This process does not affect PDEs for the 2007 Benefit Year.

Question: Who will distribute the Return file?

Answer: The Return file will be distributed by CSSC Operations. The Return file will be distributed in the same manner as the Return files that are sent after normal PDE processing. CSSC will distribute the Special Return file to the same mailbox that is used for Reports received from normal PDE processing.

Question: Will there be identifying information in the header to distinguish this file from other return files?

Answer: Yes. The header will contain a transmission date of 20070731 and a filed ID of DDSPP2P<sequential 3-byte number>.

Question: What is the naming convention for the special Return file?

Answer: For FTP mailboxes the naming convention is RPT#####.RPT.DDPS_P2P_PHASE3_RTN and for GENTRAN mailboxes the naming convention is RPT.DDPS_P2P_PHASE3_RTN_XXXXXX

Question: Where can I find the report layout for the special return file?

Answer: The layout can be viewed at the CSSC website, www.csscoperations.com. The layout is found under the link for DDPS Reports, DDPS Transaction Validation. The layout is identical to the return file received during normal PDE processing with the exception of field 40 which will contain the updated PBP of Record for certain scenarios affected by P2P Phase III (refer to the P2P Phase III guidance). This field will only be used during the P2P Phase III Update Process.

Question: How will the return file be sorted?

Answer: The file will be sorted by Submitted HICN within Contract/PBP.

Question: In addition to the new update code, what else can be expected on the DET record in the Special Return file?

Answer: Sponsors will see UPD displayed in the Record ID on the DET record in the Return File. This code is meant to inform the Submitting Contract that the PDE went through the P2P Phase III Update. A PDE may also receive edit code 710 if the beneficiary's HICN has changed according to CMS records. If an edit code 710 is generated, the Corrected HICN will appear in positions 446-465 on the Special Return File. P2P Phase III will bypass all other edit processing that normally occurs in PDE processing.

Question: Is it possible for a PDE to receive more than one update code?

Answer: No, the update codes are mutually exclusive.

Question: How does P2P Phase III process affect financial settlement between plans?

Answer: The P2P financial settlement process does not change. It remains the same as it has throughout the entire P2P Process. The Contract of Record (recipient of Report 43) should pay the Submitting Contract within 30 days of the date on which CMS distributes the reports. The July monthly P2P Reports (specifically the July monthly P2P reports for Benefit year 2006) will include P2P Phase III PDEs as well as P2P PDEs that were submitting and processed during the month of July. If the Submitting Contract receives a negative amount on Report 41 (which is known as a “Receivables” Report) this amount should be treated as a payable to the Contract of Record. The payment should be made within 30 days of the date on which CMS distributes the P2P reports.

Question: How do the July monthly reports relate to the DDPS inputs for the final Part D Payment Reconciliation?

Answer: The July monthly reports, the Cumulative Beneficiary Summary Report (Report 4) and Report 42 will display the DDPS inputs used in the final Part D Payment Reconciliation. Plans should validate the Part D Payment Reconciliation using these reports.