



Centers for Medicare & Medicaid Services (CMS)

## Standard Companion Guide

Health Care Claim: Dental (837D)

Based on ASC X12N TR3, Version 005010X224A2

Companion Guide Version Number: 1.0,  
May 2024

## **Disclosure Statement**

The Centers for Medicare & Medicaid Services (CMS) is committed to maintaining the integrity and security of health care data in accordance with applicable laws and regulations. Disclosure of Medicare claims is restricted under the provisions of the Privacy Act of 1974 and Health Insurance Portability and Accountability Act of 1996. This Companion Guide is to be used for conducting Medicare business only.

## Preface

This Companion Guide (CG) to the ASC X12N Technical Report Type 3 (TR3) Version 005010 and associated errata adopted under Health Insurance Portability and Accountability Act of 1996 (HIPAA) clarifies and specifies the data content when exchanging transactions electronically with Medicare. Transmissions based on this CG, used in tandem with the TR3, are compliant with both ASC X12N syntax and those guides. This CG is intended to convey information that is within the framework of the TR3 adopted for use under HIPAA. This CG is not intended to convey information that in any way exceeds the requirements or usages of data expressed in the TR3.

This CG contains instructions for electronic communications with the publishing entity, as well as supplemental information, for creating transactions while ensuring compliance with the associated ASC X12N TR3s and the Council for Affordable Quality Healthcare – Committee on Operating Rules for Information Exchange (CAQH CORE) companion guide operating rules.

In addition, this CG contains the information needed by Trading Partners to send and receive electronic data with the publishing entity, who is acting on behalf of CMS, including detailed instructions for submission of specific electronic transactions. The instructional content is limited by ASC X12N's copyrights and Fair Use statement.

The Railroad Retirement Board (RRB) is excluded from processing Dental claims at this time.

# Table of Contents

|   |     |
|---|-----|
| Disclosure Statement.....                                   | ii  |
| Preface .....   | iii |
| 1 Introduction .....  | 1   |
| 1.1 Scope .....   | 1   |
| 1.2 Overview.....   | 1   |
| 1.3 References.....   | 2   |
| 1.4 Additional Information .....                            | 2   |
| 2 Getting Started.....                                      | 3   |
| 2.1 Working Together.....                                   | 3   |
| 2.2 Trading Partner Registration .....                      | 3   |
| 2.3 Trading Partner Certification and Testing Process ..... | 5   |
| 3 Testing and Certification Requirements .....              | 5   |
| 3.1 Testing Requirements.....                               | 5   |
| 3.2 Certification Requirements .....                        | 6   |
| 4 Connectivity / Communications.....                        | 7   |
| 4.1 Process Flows.....                                      | 7   |
| 4.2 Transmission.....                                       | 8   |
| 4.2.1 Re-transmission Procedures.....                       | 8   |
| 4.3 Communication Protocol Specifications .....             | 8   |
| 4.4 Security Protocols and Passwords.....                   | 9   |
| 4.4.1 Guidelines for Creating a “Good” Password .....       | 9   |
| 5 Contact Information.....                                  | 10  |
| 5.1 EDI Customer Service .....                              | 10  |
| 5.2 EDI Technical Assistance.....                           | 10  |
| 5.3 Trading Partner Service Number .....                    | 11  |
| 5.4 Applicable Websites / Email .....                       | 11  |
| 6 Control Segments / Envelopes.....                         | 11  |
| 6.1 ISA-IEA .....   | 12  |
| Delimiters – Inbound Transactions .....                     | 12  |
| Delimiters – Outbound Transactions .....                    | 13  |
| Inbound Data Element Detail and Explanation .....           | 13  |
| 6.2 GS-GE .....   | 13  |
| 6.3 ST-SE .....   | 13  |
| 7 Specific Business Rules.....                              | 13  |
| 7.1 General Notes.....                                      | 13  |
| 7.2 General Transaction Notes.....                          | 15  |

|         |  |    |
|---------|--|----|
| 8       | Acknowledgments and Reports .....                        | 16 |
| 8.1     | Report Inventory .....                                   | 16 |
| 9       | Trading Partner Agreement .....                          | 16 |
| 10      | Transaction-Specific Information.....                    | 17 |
| 10.1    | Header .....   | 17 |
| 10.1.1  | Header and Information Source.....                       | 17 |
| 10.1.2  | Loop 1000A Submitter Name .....                          | 17 |
| 10.1.3  | Loop 1000B Receiver Name .....                           | 18 |
| 10.2    | Billing Provider.....                                    | 18 |
| 10.2.1  | Loop 2000A Billing Provider Detail.....                  | 18 |
| 10.2.2  | Loop 2010AA Billing Provider Name .....                  | 19 |
| 10.2.3  | Loop 2010AA Billing Provider City, State, Zip Code ..... | 19 |
| 10.3    | Subscriber Detail.....                                   | 19 |
| 10.3.1  | Loop 2000B Subscriber Hierarchical Level .....           | 19 |
| 10.3.2  | Loop 2010BA Subscriber Name .....                        | 20 |
| 10.3.3  | Loop 2010BB Payer Name .....                             | 21 |
| 10.4    | Patient Detail.....                                      | 22 |
| 10.4.1  | Loop 2300 Claim Information.....                         | 22 |
| 10.4.2  | Loop 2310A Referring Provider Name .....                 | 24 |
| 10.4.3  | Loop 2310B Rendering Provider Name .....                 | 24 |
| 10.4.4  | Loop 2310C Service Facility Location .....               | 24 |
| 10.4.5  | Loop 2310E Supervising Provider Name .....               | 25 |
| 10.4.6  | Loop 2320 Other Subscriber Information .....             | 25 |
| 10.4.7  | Loop 2330A Other Subscriber Name.....                    | 26 |
| 10.4.8  | Loop 2330B Other Payer Name.....                         | 27 |
| 10.4.9  | Loop 2400 Service Line Number.....                       | 27 |
| 10.4.10 | Loop 2420A Rendering Provider Name.....                  | 28 |
| 10.4.11 | Loop 2420C Supervising Provider Name .....               | 29 |
| 10.4.12 | Loop 2420D Service Facility Location .....               | 29 |
| 10.4.13 | Loop 2430 Line Adjudication Information.....             | 29 |
| 10.4.14 | Transaction Set Trailer .....                            | 30 |
| 11      | Appendices.....  | 30 |
| 11.1    | Implementation Checklist .....                           | 30 |
| 11.2    | Transmission Examples.....                               | 31 |
| 11.3    | Frequently Asked Questions.....                          | 31 |
| 11.4    | Acronym Listing .....                                    | 32 |
| 11.5    | Change Summary.....                                      | 34 |

## List of Tables

|  |    |
|--|----|
| Table 1. EDI Transactions and Code Set References.....                   | 2  |
| Table 2. Additional EDI Resources .....                                  | 2  |
| Table 3. ISA Interchange Control Header .....                            | 11 |
| Table 4. GS Functional Group Header.....                                 | 12 |
| Table 5. Outbound Transaction Delimiters.....                            | 13 |
| Table 6. Segment / Elements Not Accepted by Medicare .....               | 14 |
| Table 7. ST Transaction Set Header .....                                 | 17 |
| Table 8. BHT Beginning of Hierarchical Transaction .....                 | 17 |
| Table 9. Loop 1000A NM1 Submitter Name .....                             | 18 |
| Table 10. Loop 1000B NM1 Receiver Name .....                             | 18 |
| Table 11. Loop 2000A Billing Provider Detail.....                        | 19 |
| Table 12. Loop 2010AA NM1 Billing Provider Name .....                    | 19 |
| Table 13. Loop 2010AA N4 Billing Provider City, State, Zip code .....    | 19 |
| Table 14. Loop 2000B HL Subscriber Hierarchical Level .....              | 20 |
| Table 15. Loop 2000B SBR Subscriber Information .....                    | 20 |
| Table 16. Loop 2010BA NM1 Subscriber Name.....                           | 20 |
| Table 17. Loop 2010BA DMG Subscriber Demographic Information .....       | 21 |
| Table 18. Loop 2010BB NM1 Payer Name .....                               | 21 |
| Table 19. Loop 2300 CLM Claim Information .....                          | 22 |
| Table 20. Loop 2300 DTP Date Elements.....                               | 23 |
| Table 21. Loop 2300 PWK Claim Supplement Information .....               | 23 |
| Table 22. Loop 2300 HI Health Care Diagnosis Code.....                   | 23 |
| Table 23. Loop 2310A NM1 Referring Provider Name .....                   | 24 |
| Table 24. Loop 2310B NM1 Rendering Provider Name.....                    | 24 |
| Table 25. Loop 2310C N4 Service Facility Location.....                   | 24 |
| Table 26. Loop 2310E NM1 Supervising Provider Name .....                 | 25 |
| Table 27. Loop 2320 SBR Other Subscriber Information .....               | 25 |
| Table 28. Loop 2320 CAS Claim Level Adjustments.....                     | 25 |
| Table 29. Loop 2320 AMT COB Payer Paid Amount .....                      | 26 |
| Table 30. Loop 2330A NM1 Other Subscriber Name.....                      | 26 |
| Table 31. Loop 2330A REF Other Subscriber Secondary Identification ..... | 26 |
| Table 32. Loop 2330B DTP Claim Check or Remittance Date .....            | 27 |
| Table 33. Loop 2400 SV3 Dental Service.....                              | 27 |
| Table 34. Loop 2400 DTP Service Date .....                               | 28 |
| Table 35. Loop 2420A NM1 Rendering Provider Name.....                    | 28 |
| Table 36. Loop 2420C NM1 Supervising Provider Name .....                 | 29 |
| Table 37. Loop 2420D N4 Service Facility Location .....                  | 29 |
| Table 38. Loop 2430 SVD Line Adjudication Information .....              | 29 |
| Table 39. Loop 2430 DTP Line Check or Remittance Date.....               | 30 |
| Table 40. SE Transaction Set Trailer.....                                | 30 |
| Table 41. Acronyms Listing and Definitions.....                          | 32 |

Table 42. Companion Guide Version History..... 34

## List of Figures

|   |   |
|---|---|
| Figure 1. Palmetto GBA Process Flows .....                          | 7 |
| Figure 2. GPNet v5010 Production 837D Claims Transaction Flow ..... | 8 |



# 1 Introduction

This document is intended to provide information from the author of this guide to Trading Partners to give them the information they need to exchange Electronic Data Interchange (EDI) data with the author. This includes information about registration, testing, support, and specific information about control record setup.

An EDI Trading Partner is defined as any Medicare customer (e.g., provider/supplier, billing service, clearinghouse, or software vendor) that transmits to, or receives electronic data from Medicare. Medicare's EDI transaction system supports transactions adopted under the Health Insurance Portability and Accountability Act of 1996 (HIPAA) as well as additional supporting transactions as described in this guide.

Medicare Fee-For-Service (FFS) is publishing this Companion Guide (CG) to clarify, supplement, and further define specific data content requirements to be used in conjunction with, and not in place of, the ASC X12N Technical Report Type 3 (TR3) Version 005010 and associated errata mandated by HIPAA and/or adopted by Medicare FFS for EDI.

This CG provides communication, connectivity, and transaction-specific information to Medicare FFS Trading Partners and serves as the authoritative source for Medicare FFS-specific EDI protocols.

Additional information on Medicare FFS EDI practices are referenced within Internet-only Manual (IOM) Pub. 100-04 Medicare Claims Processing Manual:

- Chapter 24 – [General EDI and EDI Support, Requirements, Electronic Claims, and Mandatory Electronic Filing of Medicare Claims](https://www.cms.gov/Regulations-and-Guidance/Guidance/Manuals/downloads/clm104c24.pdf) (<https://www.cms.gov/Regulations-and-Guidance/Guidance/Manuals/downloads/clm104c24.pdf>).

## 1.1 Scope

EDI addresses how Trading Partners exchange professional, dental and institutional claims, claim acknowledgments, claim remittance advice, claim status inquiry and responses, and eligibility inquiry and responses electronically with Medicare. This CG also applies to ASC X12N 837D transactions that are being exchanged with Medicare by third parties, such as clearinghouses, billing services or network service vendors.

This CG provides technical and connectivity specification for the 837 Health Care Claim: Dental transaction Version 005010A2.

## 1.2 Overview

This CG includes information needed to commence and maintain communication exchange with Medicare. In addition, this CG has been written to assist you in designing and implementing the ASC X12N 837D transaction standard to meet Medicare's processing standards. This information is organized in the sections listed below:

- *Getting Started*: This section includes information related to hours of operation, and data services. Information concerning Trading Partner registration and the Trading Partner testing process is also included in this section.

- *Testing and Certification Requirements:* This section includes detailed transaction testing information as well as certification requirements needed to complete transaction testing with Medicare.
- *Connectivity/Communications:* This section includes information on Medicare’s transmission procedures as well as communication and security protocols.
- *Contact Information:* This section includes EDI customer service, EDI technical assistance, Trading Partner services and applicable websites.
- *Control Segments/Envelopes:* This section contains information needed to create the Interchange Control Header/Trailer (ISA/IEA), Functional Group Header/Trailer (GS/GE), and Transaction Set Header/Trailer (ST/SE) control segments for transactions to be submitted to or received from Medicare.
- *Specific Business Rules and Limitations:* This section contains Medicare business rules and limitations specific to the ASC X12N 837D.
- *Acknowledgments and Reports:* This section contains information on all transaction acknowledgments sent by Medicare and report inventory.
- *Trading Partner Agreement:* This section contains information related to implementation checklists, transmission examples, Trading Partner Agreements and other resources.
- *Transaction Specific Information:* This section describes the specific CMS requirements over and above the information in the ASC X12N 837D TR3.

### 1.3 References

The following locations provide information for where to obtain documentation for Medicare-adopted EDI transactions and code sets.

Table 1. EDI Transactions and Code Set References

| Resource  | Location  |
|---|---|
| ASC X12N TR3s                                       | The official ASC X12 website.                       |
| Washington Publishing Company Health Care Code Sets | The official Washington Publishing Company website. |

### 1.4 Additional Information

The websites in the following table provide additional resources for HIPAA Version 005010A2 implementation:

Table 2. Additional EDI Resources

| Resource   | Web Address   |
|--|---|
| <a href="https://www.cms.gov/EDIR/operations/">Medicare FFS EDI Operations</a> | <a href="https://www.cms.gov/ElectronicBillingEDITrans/">https://www.cms.gov/ElectronicBillingEDITrans/</a> |

## 2 Getting Started

### 2.1 Working Together

Palmetto GBA is dedicated to providing communication channels to ensure communication remains constant and efficient. Palmetto GBA has several options to assist the community with their electronic data exchange needs. By using any of these methods Palmetto GBA is focused on supplying the Trading Partner community with a variety of support tools.

An EDI help desk is established for the first point of contact for basic information and troubleshooting. The help desk is available to support most EDI questions/incidents while at the same time being structured to triage each incident if more advanced research is needed. Email is also accessible as a method of communicating with Palmetto GBA EDI. The email account is monitored by knowledgeable staff ready to assist you. When communicating via email, please exclude any protected health information (PHI) to ensure security is maintained. In addition to the Palmetto GBA's EDI help desk and email access, see Section 5 for additional contact information.

Palmetto GBA also has several external communication components in place to reach out to the Trading Partner community. Palmetto GBA posts all critical updates, system issues and EDI-specific billing material to their [website](https://www.palmettogba.com/EDI) (<https://www.palmettogba.com/EDI>). All Trading Partners are encouraged to visit this page to ensure familiarity with the content of the site. Palmetto GBA also distributes EDI pertinent information in the form of an EDI newsletter or comparable publication, which is posted to the website every month. In addition to the website, a distribution list has been established in order to broadcast urgent messages. Please register for Palmetto GBA's [distribution list](https://www.palmettogba.com/listserv) (<https://www.palmettogba.com/listserv>).

Specific information about the above-mentioned items can be found in the following sections.

### 2.2 Trading Partner Registration

An EDI Trading Partner is any entity (provider, billing service, clearinghouse, software vendor, employer group, financial institution, etc.) that transmits electronic data to, or receives electronic data from, another entity.

Medicare FFS and Palmetto GBA support many different types of Trading Partners or customers for EDI. To ensure proper registration, it is important to understand the terminology associated with each customer type:

- *Submitter* – the entity that owns the submitter ID associated with the health care data being submitted. It is most likely the provider, hospital, clinic, supplier, etc., but could also be a third party submitting on behalf of one of these entities. However, a submitter must be directly linked to each billing National Provider Identifier (NPI). Often the terms submitter and Trading Partner are used interchangeably because a Trading Partner is defined as the entity engaged in the exchange or transmission of electronic transactions. Thus, the entity that is submitting electronic administrative transactions to Palmetto GBA is a Medicare FFS Trading Partner.

- *Vendor* – an entity that provides hardware, software, and/or ongoing technical support for covered entities. In EDI, a vendor can be classified as a software vendor, billing or network service vendor, or clearinghouse.
- *Software Vendor* – an entity that creates software used by Trading Partners to conduct the exchange of electronic transactions with Medicare FFS.
- *Billing Service* – a third party that prepares and/or submits claims for a provider.
- *Clearinghouse* – a third party that submits and/or exchanges electronic transactions (claims, claim status or eligibility inquiries, remittance advice, etc.) on behalf of a provider.
- *Network Service Vendor* – a third party that provides connectivity between a Trading Partner and Palmetto GBA.

Medicare requires all trading partners to complete EDI registration and sign an [EDI Enrollment form](https://www.PalmettoGBA.com/EDI) (<https://www.PalmettoGBA.com/EDI>). The EDI enrollment form designates the Medicare contractor and/or Common Electronic Data Interchange (CEDI) as the entity they agree to engage in for EDI and ensures agreement between parties to implement standard policies and practices to ensure the security and integrity of information exchanged.

Entities processing paper do not need to complete an EDI registration.

For EDI enrollment information, please visit the [Palmetto GBA EDI webpage](https://www.PalmettoGBA.com/EDI) (<https://www.PalmettoGBA.com/EDI>).

Under HIPAA, EDI applies to all covered entities transmitting the following HIPAA-established administrative transactions: 837I, 837P and 837D, 835, 270/271, 276/277, and the National Council for Prescription Drug Programs (NCPDP) D.O. Additionally, Medicare Administrative Contractors (MACs) and Common Electronic Data Interchange (CEDI) will use the Interchange Acknowledgment (TA1), Implementation Acknowledgment (999), and 277 Claim Acknowledgement (277CA) error-handling transactions.

Medicare requires that Palmetto GBA furnish information on EDI to new Trading Partners that request Medicare claim privileges. Additionally, Medicare requires Palmetto GBA to assess the capability of entities to submit data electronically, establish their qualifications (see test requirements in Section 3), and enroll and assign submitter EDI identification numbers to those approved to use EDI.

A provider must obtain an NPI and furnish that NPI to Palmetto GBA prior to completion of an initial EDI Enrollment Agreement and issuance of an initial EDI number and password by that contractor. Palmetto GBA is required to verify that NPI is on the Provider Enrollment Chain and Ownership System (PECOS). If the NPI is not verified on the PECOS, the EDI Enrollment Agreement is denied, and the provider is encouraged to contact the appropriate MAC provider enrollment department (for Medicare Part A and Part B provider) or the National Supplier Clearinghouse (for Durable Medical Equipment suppliers) to resolve the issue. Once the NPI is properly verified, the provider can reapply the EDI Enrollment Agreement.

A Trading Partner's EDI number and password serve as an electronic signature and the Trading Partner would be liable for any improper usage or illegal action performed with it. A Trading Partner's EDI access number and

password are not part of the capital property of the Trading Partner's operation and may not be given to a new owner of the Trading Partner's operation. A new owner must obtain their own EDI access number and password.

If providers elect to submit/receive transactions electronically using a third party such as a billing agent, a clearinghouse, or network services vendor, then the provider is required to have an agreement signed by that third party. The third party must agree to meet the same Medicare security and privacy requirements that apply to the provider in regard to viewing or using Medicare beneficiary data. These agreements are not to be submitted to Medicare but are to be retained by the provider. Providers will notify Palmetto GBA which third party agents they will be using on their EDI Enrollment form.

Third parties are required to register with Palmetto GBA by completing the third-party agreement form. This will ensure that their connectivity is completed properly, however they may need to enroll in mailing lists separately in order to receive all publications and email notifications.

Additional third-party billing information can be found on the [Palmetto GBA EDI webpage](https://www.PalmettoGBA.com/EDI) (<https://www.PalmettoGBA.com/EDI>).

Trading Partners must also be informed that they are not permitted to share their personal EDI access number and password with any billing agent, clearinghouse, or network service vendor. Trading Partners must also not share their personal EDI access number with anyone on their own staff who does not need to see the data for completion of a valid electronic claim, to process a remittance advice for a claim, to verify beneficiary eligibility, or to determine the status of a claim. No other non-staff individuals or entities may be permitted to use a Trading Partner's EDI number and password to access Medicare systems. Clearinghouse and other third-party representatives must obtain and use their own unique EDI access number and password from Palmetto GBA. For a complete reference to security requirements, see Section 4.4.

## 2.3 Trading Partner Certification and Testing Process

For American National Standards Institute (ANSI) v5010 testing information, please review the ANSI v5010 information posted on the [Palmetto GBA EDI Software and Technical Specifications webpage](https://www.palmettogba.com/EDI) (<https://www.palmettogba.com/EDI>).

# 3 Testing and Certification Requirements

## 3.1 Testing Requirements

All submitters must produce accurate electronic test files before being allowed to submit claim transactions in production. Test claims are subject to ASC X12N standard syntax and TR3 semantic data edits. Documentation will be provided when this process detects errors.

All submitters must send a test file containing a minimum of 5 claims, which are representative of their practice or services. The number of claims could be increased or decreased, on a case-by-case basis, to ensure adequate testing of any given submitter.

- Standard syntax testing validates the programming of the incoming file and includes file layout, record sequencing, balancing, alpha-numeric/numeric/date file conventions, field values, and relational edits. Test files must pass 100 percent of the standard syntax tests before submission to production is approved.
- TR3 Semantic Data testing validates data required for claims processing, e.g., procedure/ diagnosis codes, modifiers. A submitter must demonstrate, at a minimum, 95 percent accuracy rate in data testing before submission in production is approved where, in the judgment of Palmetto GBA, the vendor/submitter will make the necessary correction(s) prior to submitting a production file. For MACs, the minimum 95 percent accuracy rate includes the [front-end edits applied implementation guide editing module](https://www.palmettogba.com/EDI) (https://www.palmettogba.com/EDI).
  - Test results will be provided to the submitter within three business days; during HIPAA version transitions this time period may be extended, not to exceed ten business days.

Many submitters use the same software, or the same clearinghouse to submit their electronic transactions to Medicare. Trading Partners do not need to test if they are using an approved software vendor. Trading Partners may see if their vendor has passed testing or to locate an approved vendor on the [Palmetto GBA EDI website](https://www.palmettogba.com/EDI) (https://www.palmettogba.com/EDI).

Trading Partners who submit transactions directly to more than one MAC must contact each MAC with whom they exchange EDI transactions to inquire about the need for supplemental testing whenever they plan to begin to use an additional EDI transaction, different or significantly modified software for submission of a previously used EDI transaction, or before a billing agent or clearinghouse begins to submit transactions on behalf of an additional Trading Partner. The individual MAC may need to retest at that time to re-establish compatibility and accuracy, particularly if there will also be a change in the telecommunication connection to be used.

Billing services and clearinghouses are not permitted to begin to submit or receive EDI transactions on behalf of a provider prior to submission of written authorization by the provider that the billing agent or clearinghouse has been authorized to handle those transactions on the provider's behalf. See Section 2.2 for further information on EDI enrollment.

## 3.2 Certification Requirements

Medicare FFS does not certify Trading Partners. However, Palmetto GBA does certify vendors, clearinghouses, and billing services by conducting testing with them and maintaining an approved vendor list that can be accessed on the [Palmetto GBA EDI website](https://www.palmettogba.com/EDI) (https://www.palmettogba.com/EDI).

## 4 Connectivity / Communications

### 4.1 Process Flows

The following diagrams show how production and test transactions flow into and out of GPNet, Palmetto GBA's EDI Gateway.

Figure 1. Palmetto GBA Process Flows

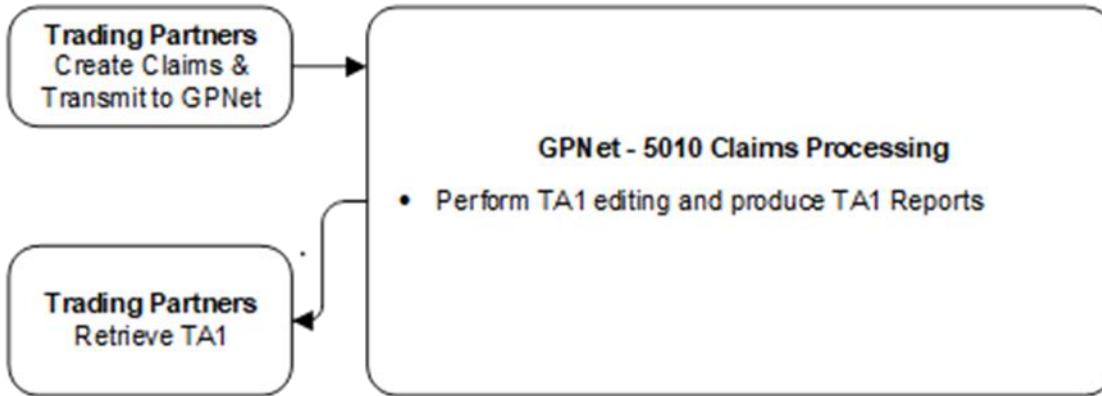
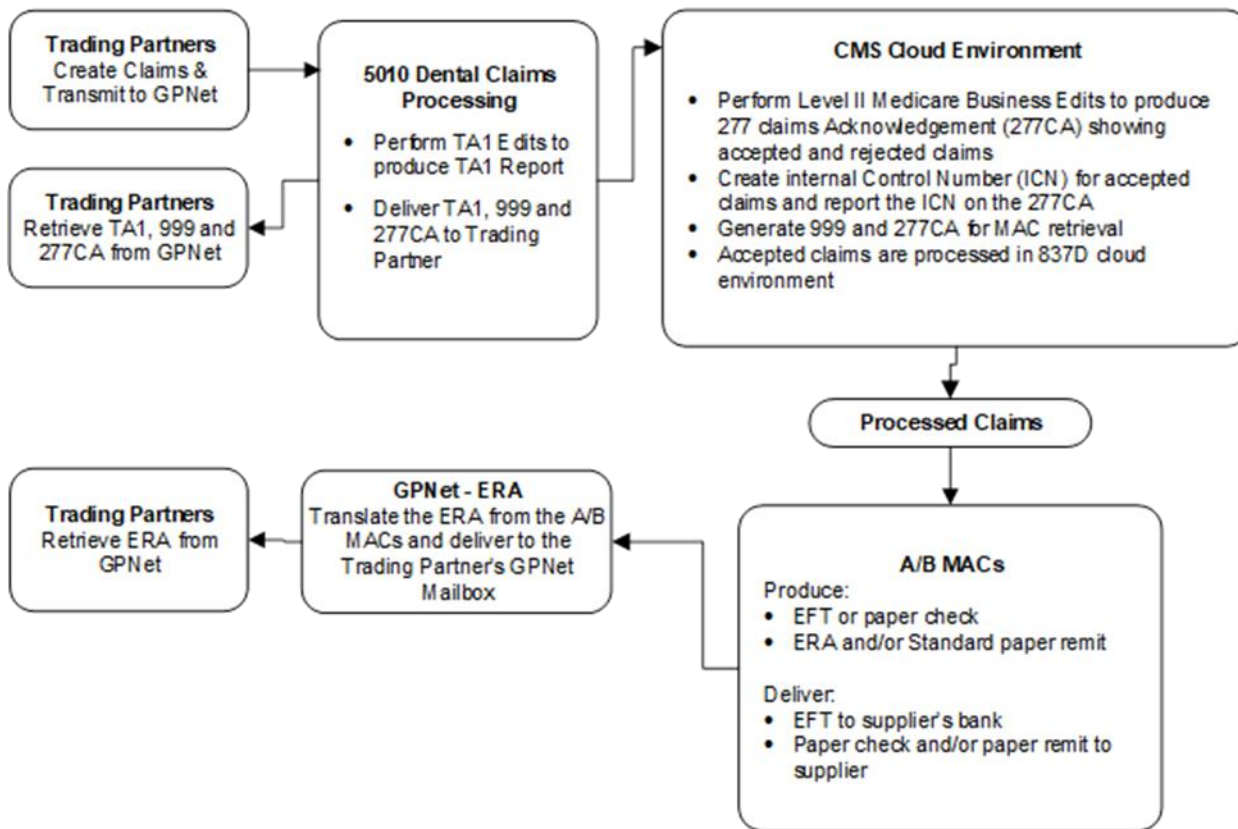


Figure 2. GPNet v5010 Production 837D Claims Transaction Flow



## 4.2 Transmission

Please see the GPNet Communications Manual posted under the [EDI Software and Technical Specifications webpage](https://www.palmettogba.com/EDI) (<https://www.palmettogba.com/EDI>).

### 4.2.1 Re-transmission Procedures

Palmetto GBA does not require any identification of a previous transmission of a claim. All claims set should be marked as original.

## 4.3 Communication Protocol Specifications

Please review the GPNet Communications Manual posted under the [EDI Software and Technical Specifications webpage](https://www.palmettogba.com/EDI) (<https://www.palmettogba.com/EDI>).



## 4.4 Security Protocols and Passwords

All Trading Partners must adhere to CMS information security policies; including, but not limited to, the transmission of electronic claims, claim status, receipt of the remittance advice, or any system access to obtain beneficiary PHI and/or eligibility information. Violation of this policy will result in revocation of all methods of system access. Palmetto GBA is responsible for notifying all affected Trading Partners as well as reporting the system revocation to CMS.

Password guidelines are provided with receipt of initial passwords. For a complete reference to Password information, see Section 4.4.1.

### 4.4.1 Guidelines for Creating a “Good” Password

Most security breaches are a direct result of users selecting “bad” passwords. The selection of a “good” password is critical to ensuring the security and integrity of your health care information. A good password is one that is difficult for others to guess and yet is easily remembered by the user.

Passwords will expire every sixty days.

The following basic guidelines should help when creating a password:

#### DO

- Must be exactly eight (8) characters in length.
- Must contain both alphabetic and numeric characters in the password.
- Must contain at least 1 uppercase and 1 lowercase letter.
- Must contain a special character; for example: ! \$ %.
- Passwords are case sensitive.
- Must contain a minimum of four (4) characters different than the previous password.
- Must be different than the last nine (9) passwords.

#### DON'T

- Do not use English defined words.
- Do not use your user ID or any permutation of it as the password.
- Do not use your company name, department name, or any permutation of it as a password.
- Do not use your name or initials in any form.
- Do not use family members or pets as part of the password.
- Do not use swear words or obscene words; they're among the first words tried when guessing passwords.

- Do not write down your password.
- Do not reuse your password.
- Do not store your password in scripts, files, or applications unless compensating controls are in place.
- Do not use any form of date such as month, day, year, etc.

## 5 Contact Information

### 5.1 EDI Customer Service

For EDI Customer Service information, please visit the Contact Us area on the [Palmetto GBA EDI website](https://www.palmettogba.com/EDI) (<https://www.palmettogba.com/EDI>).

If you have questions regarding the GPNet platform, call the Palmetto GBA Provider Contact Center at:

- Jurisdiction J: 877-567-7271
- Jurisdiction M: 855-696-0705
- Railroad Retirement Board: 888-355-9165

#### Palmetto GBA Holiday Schedule:

- Martin Luther King, Jr.'s Birthday
- Memorial Day
- Independence Day
- Labor Day
- Thanksgiving Day
- Day after Thanksgiving
- Christmas Eve
- Christmas Day
- New Year's Day

### 5.2 EDI Technical Assistance

See Section 5.1 for Technical Assistance information.

### 5.3 Trading Partner Service Number

For Provider Customer Center information, please visit the [Contact Us](https://www.palmettogba.com/Medicare) (https://www.palmettogba.com/Medicare) area.

### 5.4 Applicable Websites / Email

Please visit the [Palmetto GBA EDI webpage](https://www.palmettogba.com/EDI) (https://www.palmettogba.com/EDI). You can email EDI Customer Service at Medicare.EDI@PalmettoGBA.com.

## 6 Control Segments / Envelopes

Enveloping information must be as follows:

**Note:** A hyphen in the table below means N/A.

Table 3. ISA Interchange Control Header

| Page # | Element | Name                                | Codes/Content | Notes/Comments  |
|--------|---------|-------------------------------------|---------------|---|
| C.4    | ISA01   | Authorization Information Qualifier | 00            | Medicare expects the value to be 00.  |
| C.4    | ISA02   | Authorization Information           | -             | ISA02 shall contain 10 blank spaces.  |
| C.4    | ISA03   | Security Information Qualifier      | 00            | Medicare expects the value to be 00. No Security Information present.   |
| C.4    | ISA04   | Security Information                | -             | Add 2 blank spaces as Medicare does not use Security Information and will ignore content sent in ISA04.   |
| C.4    | ISA05   | Interchange ID Qualifier            | ZZ            | Must be "ZZ".   |
| C.4    | ISA06   | Interchange Sender ID               | -             | Palmetto GBA assigned Submitter ID. This is also required in the GS02.  |
| C.5    | ISA07   | Interchange ID Qualifier            | ZZ            | Must be "ZZ".   |
| C.5    | ISA08   | Interchange Receiver ID             | -             | Please reference the GPNet communication guide on the <a href="https://www.palmettogba.com/EDI">Palmetto GBA EDI website</a> (https://www.palmettogba.com/EDI) for the Palmetto GBA contractor numbers. |

| Page # | Element | Name                      | Codes/Content | Notes/Comments   |
|--------|---------|---------------------------|---------------|--|
| C.5    | ISA11   | Repetition Separator      | -             | Defined by the submitter.  |
| C.6    | ISA14   | Acknowledgement Requested | 1             | Medicare requires submitter to send code value 1 – Interchange Acknowledgment Requested (TA1). Medicare will only return a TA1 segment when there is an error in the ISA/IEA Interchange Envelope. |

**Note:** A hyphen in the table below means N/A.

*Table 4. GS Functional Group Header*

| Page # | Element | Name                           | Codes/Content | Notes/Comments  |
|--------|---------|--------------------------------|---------------|---|
| C.7    | GS02    | Application Sender’s Code      | -             | Submitter number assigned by Palmetto GBA.  |
| C.7    | GS03    | Application Receiver’s Code    | -             | Please reference the GPNet communication guide on the <a href="https://www.palmettogba.com/EDI">Palmetto GBA EDI website</a> ( <a href="https://www.palmettogba.com/EDI">https://www.palmettogba.com/EDI</a> ) for the Palmetto GBA contractor numbers. |
| C.7    | GS04    | Functional Group Creation Date | -             | Must not be a future date.  |
| C.8    | GS08    | Version Identifier Code        | 005010X224A2  | Medicare expects value “005010X224A2”.  |

Interchange Control (ISA/IEA), Functional Group (GS/GE), and Transaction Set (ST/SE) envelopes must be used as described in the TR3. Medicare’s expectations for the Control Segments and Envelopes are detailed in Sections 6.1, 6.2, and 6.3.

## 6.1 ISA-IEA

### Delimiters – Inbound Transactions

As detailed in the TR3, delimiters are determined by the characters sent in specified, set positions of the ISA header. For transmissions inbound to Medicare FFS, these characters are determined by the submitter and can be any characters as defined in the TR3 and must not be contained within any data elements within the ISA/IEA Interchange Envelope.

## Delimiters – Outbound Transactions

Trading Partners should contact Palmetto GBA for a list of delimiters to expect from Medicare. Note that these characters will not be used in data elements within an ISA/IEA Interchange Envelope.

Table 5. Outbound Transaction Delimiters

| Delimiter                   | Character Used | Dec Value | Hex Value |
|-----------------------------|----------------|-----------|-----------|
| Data Element Separator      | *              | 42        | 2A        |
| Repetition Separator        | ^              | 94        | 5E        |
| Component Element Separator | >              | 62        | 3E        |
| Segment Terminator          | ~              | 126       | 7E        |

## Inbound Data Element Detail and Explanation

All data elements within the ISA/IEA interchange envelope must follow ASC X12N syntax rules as defined within the TR3.

### 6.2 GS-GE

Functional group (GS-GE) codes are transaction specific. Therefore, information concerning the GS/GE Functional Group Envelope can be found in Table 3.

### 6.3 ST-SE

Medicare FFS follows the HIPAA-adopted TR3 requirements.

## 7 Specific Business Rules

This section describes the specific CMS requirements over and above the standard information in the TR3.

### 7.1 General Notes

Errors identified for business level edits performed prior to the Subscriber loop (2000B) will result in immediate file failure at that point. When this occurs, no further editing will be performed beyond the point of failure.

The billing provider must be associated with an approved electronic submitter. Claims submitted for billing providers that are not associated to an approved electronic submitter will be rejected. The following table describes segments/ elements not accepted by Medicare.

**Note:** A hyphen in the table below means N/A.

*Table 6. Segment / Elements Not Accepted by Medicare*

| Page # | Loop ID | Reference | Name   | Codes/Content | Notes/Comments   |
|--------|---------|-----------|--|---------------|--|
| 79     | 2000A   | CUR       | Foreign Currency Information                       | -             | Medicare does not support the submission of foreign currency.                        |
| 91     | 2010AA  | REF       | Billing Provider UPIN/License Information          | -             | Must not be present.   |
| 101    | 2010AC  | Loop Rule | Pay to Plan Name                                   | -             | Must not be present.   |
| 122    | 2010BA  | REF       | Subscriber Secondary Identification (REF01 = "SY") | -             | Must not be present.   |
| 129    | 2010BB  | REF       | Payer Secondary Identification                     | -             | Must not be present.   |
| 131    | 2010BB  | REF       | Billing Provider Secondary Identification          | -             | Must not be present.   |
| 133    | 2000C   | HL        | Patient Hierarchical Level                         | -             | Must not be present. For Medicare, the subscriber is always the same as the patient. |
| 135    | 2000C   | PAT       | Patient Information                                | -             | Must not be present. For Medicare, the subscriber is always the same as the patient. |
| 137    | 2010CA  | Loop Rule | Patient Name                                       | -             | Must not be present.   |
| 168    | 2300    | REF       | Payer Claim Control Number                         | -             | Must not be present.   |
| 259    | 2330C   | Loop Rule | Other Payer Referring Provider                     | -             | Must not be present.   |

| Page # | Loop ID | Reference | Name                                  | Codes/Content | Notes/Comments       |
|--------|---------|-----------|---------------------------------------|---------------|----------------------|
| 263    | 2330D   | Loop Rule | Other Payer Rendering Provider        | -             | Must not be present. |
| 267    | 2330E   | Loop Rule | Other Payer Supervising Provider      | -             | Must not be present. |
| 271    | 2330F   | Loop Rule | Other Payer Billing Provider          | -             | Must not be present. |
| 274    | 2330G   | Loop Rule | Other Payer Service Facility Location | -             | Must not be present. |
| 277    | 2330H   | Loop Rule | Other Payer Assistant Surgeon         | -             | Must not be present. |
| 296    | 2400    | CN1       | Contract Information                  | -             | Must not be present. |
| 311    | 2400    | HCP       | Line Pricing/Repricing Information    | -             | Must not be present. |

## 7.2 General Transaction Notes

The following are Medicare-specific general rules pertaining to the 837D transaction:

- MSP information submitted on the claim will not be applied at this time.
- Adjustment or reprocessing of claims is not currently available.
- Overpayments and/or voluntary refunds is not currently available.
- Coordination of Benefits (COB) is not currently available.
- Interactive Voice Response (IVR) will not support 837 Dental claims inquiries at this time.
- The maximum number of characters to be submitted in any dollar amount field is seven characters. Claims containing a dollar amount in excess of 99,999.99 will be rejected.
- Claims that contain percentage amounts with values in excess of 99.99 will be rejected.
- With the exception of the CAS segment, all amounts must be submitted as positive amounts. Negative amounts submitted in any non-CAS amount element will cause the claim to be rejected.
- Claims that contain percentage amounts cannot exceed two positions to the left or the right of the decimal. Percent amounts that exceed their defined size limit will be rejected.

- Only loops, segments, and data elements valid for the TR3 will be translated. Submitting invalid data will cause files to be rejected.
- Medicare requires the NPI be submitted as the identifier for all claims. Claims submitted with legacy identifiers will be rejected.
- National Provider Identifiers will be validated against the NPI algorithm. Claims which fail validation will be rejected.
- The MAC will only accept claims for one line of business per transaction. Claims submitted for multiple lines of business within one ST-SE (Transaction Set) will cause the transaction to be rejected.
- Submissions with more than one GS-GE (Functional Group) per ISA-IEA (interchange) will be rejected.

## 8 Acknowledgments and Reports

For information regarding acknowledgement and/or reports, please refer to the GPNNet Communications Manual found under the [EDI Software and Technical Specifications webpage](https://www.palmettogba.com/EDI) (<https://www.palmettogba.com/EDI>).

Medicare has adopted three acknowledgement transactions with the Version 005010 implementation: the 277CA, the TA1, and the 999.

Medicare FFS has adopted a process to only reject claim submissions that are out of compliance with the ASC X12N Version 005010 standard; the appropriate response for such errors will be returned on a 999. Batch submissions with errors will not be rejected in totality, unless warranted.

### 8.1 Report Inventory

Please refer to the GPNNet Communications Manual found under the [EDI Software and Technical Specifications webpage](https://www.palmettogba.com/EDI) (<https://www.palmettogba.com/EDI>).

## 9 Trading Partner Agreement

EDI Trading Partner Agreements ensure the integrity of the electronic transaction process. The Trading Partner Agreement is related to the electronic exchange of information, whether the agreement is an entity or a part of a larger agreement, between each party to the agreement.

Medicare FFS requires all Trading Partners to sign a Trading Partner Agreement with Palmetto GBA. This agreement can be found on the [Palmetto GBA EDI website](https://www.palmettogba.com/EDI) (<https://www.palmettogba.com/EDI>).

Additionally, Palmetto GBA requires the following for trading partner testing: Please refer to the [ANSI Testing Procedures or EDI Enrollment Packet](https://www.palmettogba.com/EDI) (<https://www.palmettogba.com/EDI>).



## 10 Transaction-Specific Information

This section defines specific CMS requirements over and above the standard information in the ASC X12N 837D TR3.

### 10.1 Header

The following sub-sections contain specific details associated with header.

#### 10.1.1 Header and Information Source

The following tables define the specific details associated with Header and Information Source:

**Notes:**

- A hyphen in the table below means N/A.
- A new table exists for each segment.

*Table 7. ST Transaction Set Header*

| Page # | Loop ID | Reference | Name                           | Codes/Content | Length | Notes/Comments   |
|--------|---------|-----------|--------------------------------|---------------|--------|--|
| 65     | N/A     | ST02      | Transaction Set Control Number | -             | 9      | Palmetto GBA will reject an interchange (transmission) that is not submitted with unique values in the ST02 (Transaction Set Control Number) elements. |

*Table 8. BHT Beginning of Hierarchical Transaction*

| Page # | Loop ID | Reference | Name                         | Codes/Content | Length | Notes/Comments                |
|--------|---------|-----------|------------------------------|---------------|--------|-------------------------------|
| 66     | N/A     | BHT02     | Transaction Set Purpose Code | 00            | 2      | Must equal "00" (ORIGINAL).   |
| 67     | N/A     | BHT06     | Claim/Encounter Identifier   | CH            | 2      | Must equal "CH" (CHARGEABLE). |

#### 10.1.2 Loop 1000A Submitter Name

The following table defines the specific details associated with Loop 1000A Submitter Name:

**Note:** A hyphen in the table below means N/A.

*Table 9. Loop 1000A NM1 Submitter Name*

| Page # | Loop ID | Reference | Name                             | Codes/Content | Length | Notes/Comments   |
|--------|---------|-----------|----------------------------------|---------------|--------|--|
| 70     | 1000A   | NM105     | Submitter Middle Name or Initial | -             | 25     | The first position must be alphabetic (A-Z).   |
| 70     | 1000A   | NM109     | Submitter ID                     | -             | 80     | Palmetto GBA will reject an interchange (transmission) that is submitted with a submitter identification number that is not authorized for electronic claim submission. Submitter ID must match the value submitted in ISA06 and GS02. |

### 10.1.3 Loop 1000B Receiver Name

The following table defines the specific details associated with Loop 1000B Receiver Name:

**Note:** A hyphen in the table below means N/A.

*Table 10. Loop 1000B NM1 Receiver Name*

| Page # | Loop ID | Reference | Name                        | Codes/Content | Length | Notes/Comments  |
|--------|---------|-----------|-----------------------------|---------------|--------|---|
| 75     | 1000B   | NM109     | Receiver Primary Identifier | -             | 80     | Palmetto GBA will reject an interchange (transmission) that is not submitted with a valid Palmetto GBA contractor code. Each individual MAC determines this identifier. Receiver ID must match the value submitted in ISA08 and GS03. |

## 10.2 Billing Provider

The following sub-sections contain specific details associated with Billing Provider.

### 10.2.1 Loop 2000A Billing Provider Detail

The following table defines the specific details associated with Loop 2000A Billing Provider.

*Table 11. Loop 2000A Billing Provider Detail*

| Loop ID | Notes/Comments  |
|---------|---|
| 2000A   | The Billing Provider Detail Section of this CG contains no unique CMS Medicare requirements that differ from the TR3. Refer to the TR3 specifications for the following Loops: 2000A, 2010AA, 2010AB. |
| 2010AA  | NM109: billing provider must be “associated” to the submitter (from a Trading Partner management perspective) in 1000A NM109.   |

### 10.2.2 Loop 2010AA Billing Provider Name

The following table defines the specific details associated with Loop 2010AA Billing Provider Name.

**Note:** A hyphen in the table below means N/A.

*Table 12. Loop 2010AA NM1 Billing Provider Name*

| Page # | Loop ID | Reference | Name                                    | Codes/Content | Length | Notes/Comments                               |
|--------|---------|-----------|---|---------------|--------|--|
| 84     | 2010AA  | NM105     | Billing Provider Middle Name or Initial | -             | 25     | The first position must be alphabetic (A-Z). |

### 10.2.3 Loop 2010AA Billing Provider City, State, Zip Code

The following table defines the specific details associated with Loop 2010AA Billing Provider City, State, Zip Code.

**Note:** A hyphen in the table below means N/A.

*Table 13. Loop 2010AA N4 Billing Provider City, State, Zip code*

| Page # | Loop ID | Reference | Name                         | Codes/Content | Length | Notes/Comments  |
|--------|---------|-----------|------------------------------|---------------|--------|---|
| 88     | 2010AA  | N403      | Billing Provider Postal Code | -             | 15     | When the postal code does not include a +4 value, use 9998. |

## 10.3 Subscriber Detail

The following sub-sections contain specific details associated with Subscriber.

### 10.3.1 Loop 2000B Subscriber Hierarchical Level

The following tables define the specific details associated with Loop 2000B Subscriber Hierarchical Level.

**Note:** A new table exists for each segment.

*Table 14. Loop 2000B HL Subscriber Hierarchical Level*

| Page # | Loop ID | Reference | Name                    | Codes/Content | Length | Notes/Comments            |
|--------|---------|-----------|-------------------------|---------------|--------|---------------------------|
| 110    | 2000B   | HL04      | Hierarchical Child Code | 0             | 1      | The value accepted is "0" |

*Table 15. Loop 2000B SBR Subscriber Information*

| Page # | Loop ID | Reference | Name                                      | Codes/Content | Length | Notes/Comments  |
|--------|---------|-----------|---|---------------|--------|---|
| 111    | 2000B   | SBR01     | Payer Responsibility Sequence Number Code | P, S          | 1      | The values accepted are "P" or "S"                              |
| 112    | 2000B   | SBR02     | Individual Relationship Code              | 18            | 2      | For Medicare, the subscriber is always the same as the patient. |
| 113    | 2000B   | SBR09     | Claim Filing Indicator Code               | MB            | 2      | For Medicare, the subscriber is always the same as the patient. |

### 10.3.2 Loop 2010BA Subscriber Name

The following tables define the specific details associated with Loop 2010BA Subscriber Name.

Please note, RRB is excluded from processing dental claims.

**Notes:**

- A hyphen in the table below means N/A.
- A new table exists for each segment.

*Table 16. Loop 2010BA NM1 Subscriber Name*

| Page # | Loop ID | Reference | Name                              | Codes/Content | Length | Notes/Comments                               |
|--------|---------|-----------|-----------------------------------|---------------|--------|--|
| 115    | 2010BA  | NM102     | Subscriber Entity Type Qualifier  | 1             | 1      | The value accepted is 1.                     |
| 115    | 2010BA  | NM105     | Subscriber Middle Name or Initial | -             | 25     | The first position must be alphabetic (A-Z). |

| Page # | Loop ID | Reference | Name                                     | Codes/Content | Length | Notes/Comments  |
|--------|---------|-----------|--|---------------|--------|---|
| 115    | 2010BA  | NM108     | Subscriber Identification Code Qualifier | MI            | 2      | The value accepted is "MI".   |
| 116    | 2010BA  | NM109     | Subscriber Primary Identifier            | -             | 80     | For the Medicare Beneficiary Identifier (MBI): Must be 11 positions in the format of C A A N N A A N N A A N N where "C" represents a constrained numeric 1 thru 9; "A" represents alphabetic character A – Z but excluding S, L, O, I, B, Z; "N" represents numeric 0 thru 9; "AN" represents either "A" or "N". |

**Note:** A hyphen in the table below means N/A.

*Table 17. Loop 2010BA DMG Subscriber Demographic Information*

| Page # | Loop ID | Reference | Name                  | Codes/Content | Length | Notes/Comments             |
|--------|---------|-----------|-----------------------|---------------|--------|----------------------------|
| 120    | 2010BA  | DMG02     | Subscriber Birth Date | -             | 35     | Must not be a future date. |

### 10.3.3 Loop 2010BB Payer Name

The following table defines the specific details associated with Loop 2010BB Payer Name.

*Table 18. Loop 2010BB NM1 Payer Name*

| Page # | Loop ID | Reference | Name                                | Codes/Content | Length | Notes/Comments              |
|--------|---------|-----------|-------------------------------------|---------------|--------|-----------------------------|
| 125    | 2010BB  | NM108     | Payer Identification Code Qualifier | PI            | 2      | The value accepted is "PI". |

## 10.4 Patient Detail

The following sub-sections contain specific requirements for the Patient Detail.

### 10.4.1 Loop 2300 Claim Information

The following tables define the specific details associated with Loop 2300 Claim Information.

**Notes:**

- A hyphen in the table below means N/A.
- A new table exists for each segment.

*Table 19. Loop 2300 CLM Claim Information*

| Page # | Loop ID | Reference | Name                      | Codes/Content | Length | Notes/Comments  |
|--------|---------|-----------|---------------------------|---------------|--------|---|
| 146    | 2300    | CLM01     | Patient Control Number    | -             | 38     | Only 20 characters will be stored and returned by Medicare.   |
| 147    | 2300    | CLM02     | Total Claim Charge Amount | -             | 18     | Must be $\geq 0$ and $\leq 99,999.9$ .<br>When Medicare is primary payer, CLM02 must equal the sum of all SV102 service line charge amounts.<br>When Medicare is Secondary. Total Submitted Charges (CLM02) must equal the sum of all 2320 & 2430 CAS amounts and the 2320 AMT02 (AMT01=D). |
| 147    | 2300    | CLM05-3   | Claim Frequency Code      | 1             | 1      | Must be equal to "1" (ORIGINAL).  |
| 151    | 2300    | CLM20     | Delay Reason Code         | -             | 2      | Data submitted in CLM20 will not be used for processing.  |

**Notes:**

- A hyphen in the table below means N/A.
- A new table exists for each segment.

*Table 20. Loop 2300 DTP Date Elements*

| Page # | Loop ID | Reference | Name                     | Codes/Content | Length | Notes/Comments             |
|--------|---------|-----------|--------------------------|---------------|--------|----------------------------|
| 152    | 2300    | DTP03     | Accident Date            | -             | 35     | Must not be a future date. |
| 153    | 2300    | DTP03     | Orthodontic Banding Date | -             | 35     | Must not be a future date. |
| 154    | 2300    | DTP03     | Service Date             | -             | 35     | Must not be a future date. |
| 155    | 2300    | DTP03     | Repricer Received Date   | -             | 35     | Must not be a future date. |

In the table below, for Loop 2300 Claim Supplement Information, only the first iteration of the PWK, at either the claim level and/or line level, will be considered in the claim adjudication.

*Table 21. Loop 2300 PWK Claim Supplement Information*

| Page # | Loop ID | Reference | Name                         | Codes/Content  | Length | Notes/Comments                    |
|--------|---------|-----------|------------------------------|----------------|--------|-----------------------------------|
| 160    | 2300    | PWK02     | Attachment Transmission Code | BM, FX, EL, FT | 2      | Must be “BM”, “FX”, “EL”, or “FT” |

**Note:** A hyphen in the table below means N/A.

*Table 22. Loop 2300 HI Health Care Diagnosis Code*

| Page # | Loop ID | Reference | Name                       | Codes/Content | Length | Notes/Comments   |
|--------|---------|-----------|----------------------------|---------------|--------|--|
| 181    | 2300    | HI01-2    | Health Care Diagnosis Code | -             | 30     | A valid diagnosis is required.<br>Claims that do not contain a valid diagnosis (pointed to or not) will be rejected for claims with date of receipt on and after 1/1/25. |

### 10.4.2 Loop 2310A Referring Provider Name

The following table defines the specific details associated with Loop 2310A Referring Provider Name.

**Note:** A hyphen in the table below means N/A.

*Table 23. Loop 2310A NM1 Referring Provider Name*

| Page # | Loop ID | Reference | Name                                      | Codes/Content | Length | Notes/Comments                               |
|--------|---------|-----------|---|---------------|--------|--|
| 191    | 2310A   | NM105     | Referring Provider Middle Name or Initial | -             | 25     | The first position must be alphabetic (A-Z). |

### 10.4.3 Loop 2310B Rendering Provider Name

The following table defines the specific details associated with Loop 2310B Rendering Provider Name.

**Note:** A hyphen in the table below means N/A.

*Table 24. Loop 2310B NM1 Rendering Provider Name*

| Page # | Loop ID | Reference | Name                                      | Codes/Content | Length | Notes/Comments                               |
|--------|---------|-----------|---|---------------|--------|--|
| 197    | 2310B   | NM105     | Rendering Provider Middle Name or Initial | -             | 25     | The first position must be alphabetic (A-Z). |

### 10.4.4 Loop 2310C Service Facility Location

The following table defines the specific details associated with Loop 2310C Service Facility Location.

**Note:** A hyphen in the table below means N/A.

*Table 25. Loop 2310C N4 Service Facility Location*

| Page # | Loop ID | Reference | Name                                  | Codes/Content | Length | Notes/Comments  |
|--------|---------|-----------|---------------------------------------|---------------|--------|---|
| 207    | 2310C   | N403      | Service Facility Location Postal Code | -             | 15     | When the postal code does not include a +4 value, use 9998. |



### 10.4.5 Loop 2310E Supervising Provider Name

The following table defines the specific details associated with Loop 2310E Supervising Provider Name.

**Note:** A hyphen in the table below means N/A.

*Table 26. Loop 2310E NM1 Supervising Provider Name*

| Page # | Loop ID | Reference | Name  | Codes/Content | Length | Notes/Comments                               |
|--------|---------|-----------|---|---------------|--------|--|
| 217    | 2310E   | NM105     | Supervising Provider Middle Name or Initial | -             | 25     | The first position must be alphabetic (A-Z). |

### 10.4.6 Loop 2320 Other Subscriber Information

The following tables define the specific details associated with Loop 2320 Other Subscriber Information.

**Note:**

- A hyphen in the table below means N/A.
- A new table exists for each segment.

*Table 27. Loop 2320 SBR Other Subscriber Information*

| Page # | Loop ID | Reference | Name                                      | Codes/Content | Length | Notes/Comments  |
|--------|---------|-----------|---|---------------|--------|---|
| 222    | 2320    | SBR01     | Payer Responsibility Sequence Number Code | -             | 1      | 2320 SBR01 = "P" must be present when 2000B SBR01 = "S" |
| 224    | 2320    | SBR09     | Claim Filing Indicator Code               | -             | 2      | The value cannot be "MA" or "MB"                        |

In the table below, for Loop 2320 Claim Level Adjustments, CAS segment must not be present when 2000B SBR01 = "P".

**Note:** A hyphen in the table below means N/A.

*Table 28. Loop 2320 CAS Claim Level Adjustments*

| Page # | Loop ID | Reference | Name                    | Codes/Content | Length | Notes/Comments   |
|--------|---------|-----------|-------------------------|---------------|--------|--|
| 227    | 2320    | CAS01     | Claim Level Adjustments | -             | 2      | CAS segment must not be present when 2000B SBR01 = "P" |

Table 29. Loop 2320 AMT COB Payer Paid Amount

| Page # | Loop ID | Reference | Name   | Codes/Content | Length | Notes/Comments  |
|--------|---------|-----------|--|---------------|--------|---|
| 231    | 2320    | AMT01     | Coordination of Benefits (COB) Payer Paid Amount | D             | 3      | Medicare requires one occurrence of 2320 loop with an AMT segment AMT01 = "D" must be present when 2000B SBR01 = "S". |

### 10.4.7 Loop 2330A Other Subscriber Name

The following tables define the specific details associated with Loop 2330A Other Subscriber Name.

**Note:**

- A hyphen in the table below means N/A.
- A new table exists for each segment.

Table 30. Loop 2330A NM1 Other Subscriber Name

| Page # | Loop ID | Reference | Name                                 | Codes/Content | Length | Notes/Comments                               |
|--------|---------|-----------|--------------------------------------|---------------|--------|--|
| 240    | 2330A   | NM105     | Other Insured Middle Name or Initial | -             | 25     | The first position must be alphabetic (A-Z). |

**Note:** A hyphen in the table below means N/A.

Table 31. Loop 2330A REF Other Subscriber Secondary Identification

| Page # | Loop ID | Reference | Name                                | Codes/Content | Length | Notes/Comments  |
|--------|---------|-----------|-------------------------------------|---------------|--------|---|
| 245    | 2330A   | REF02     | Other Insured Additional Identifier | -             | 9      | Must be 9 digits with no punctuation.<br>First 3 digits cannot be higher than "272".<br>Digits 1-3, 4-5, and 6-9 cannot be zeros (0). |

### 10.4.8 Loop 2330B Other Payer Name

The following table defines the specific details associated with Loop 2330B Other Payer Name.

**Note:** A hyphen in the table below means N/A.

*Table 32. Loop 2330B DTP Claim Check or Remittance Date*

| Page # | Loop ID | Reference | Name                         | Codes/Content | Length | Notes/Comments             |
|--------|---------|-----------|------------------------------|---------------|--------|----------------------------|
| 251    | 2330B   | DTP03     | Adjudication or Payment Date | -             | 35     | Must not be a future date. |

### 10.4.9 Loop 2400 Service Line Number

The following table defines the specific details associated with Loop 2400 Service Line Number.

**Note:**

- A hyphen in the table below means N/A.
- A new table exists for each segment.

*Table 33. Loop 2400 SV3 Dental Service*

| Page # | Loop ID | Reference | Name                            | Codes/Content | Length | Notes/Comments   |
|--------|---------|-----------|---------------------------------|---------------|--------|--|
| 282    | 2400    | SV301-1   | Product or Service ID Qualifier | AD            | 2      | Must be "AD".  |
| 284    | 2400    | SV302     | Line Item Charge Amount         | -             | 18     | SV302 must be greater than 0. SV302's decimal positions are limited to 0, 1, or 2.         |
| 286    | 2400    | SV306     | Procedure Count                 | -             | 15     | Must be > 0 with maximum of 4 whole numbers and 1 decimal position (cannot exceed 9999.9). |

**Note:** A hyphen in the table below means N/A.

*Table 34. Loop 2400 DTP Service Date*

| Page # | Loop ID | Reference | Name                      | Codes/Content | Length | Notes/Comments             |
|--------|---------|-----------|---------------------------|---------------|--------|----------------------------|
| 290    | 2400    | DTP03     | Service Date              | -             | 35     | Must not be a future date. |
| 291    | 2400    | DTP03     | Prior Placement Date      | -             | 35     | Must not be a future date. |
| 292    | 2400    | DTP03     | Orthodontic Banding Date  | -             | 35     | Must not be future date.   |
| 293    | 2400    | DTP03     | Replacement Date          | -             | 35     | Must not be a future date. |
| 294    | 2400    | DTP03     | Treatment Start Date      | -             | 35     | Must not be a future date. |
| 295    | 2400    | DTP03     | Treatment Completion Date | -             | 35     | Must not be a future date. |

### 10.4.10 Loop 2420A Rendering Provider Name

The following table defines the specific details associated with Loop 2420A Rendering Provider Name.

**Note:** A hyphen in the table below means N/A.

*Table 35. Loop 2420A NM1 Rendering Provider Name*

| Page # | Loop ID | Reference | Name                                      | Codes/Content | Length | Notes/Comments                               |
|--------|---------|-----------|---|---------------|--------|--|
| 317    | 2420A   | NM105     | Rendering Provider Middle Name or Initial | -             | 25     | The first position must be alphabetic (A-Z). |

### 10.4.11 Loop 2420C Supervising Provider Name

The following table defines the specific details associated with Loop 2420D Supervising Provider Name.

**Note:** A hyphen in the table below means N/A.

*Table 36. Loop 2420C NM1 Supervising Provider Name*

| Page # | Loop ID | Reference | Name  | Codes/Content | Length | Notes/Comments   |
|--------|---------|-----------|---|---------------|--------|--|
| 329    | 2420C   | NM105     | Supervising Provider Middle Name or Initial | -             | 25     | First position of Supervising Provider Middle Name must be alphabetic (A-Z). |

### 10.4.12 Loop 2420D Service Facility Location

The following table defines the specific details associated with Loop 2420D Service Facility Location.

**Note:** A hyphen in the table below means N/A.

*Table 37. Loop 2420D N4 Service Facility Location*

| Page # | Loop ID | Reference | Name                                  | Codes/Content | Length | Notes/Comments  |
|--------|---------|-----------|---------------------------------------|---------------|--------|---|
| 338    | 2420D   | N403      | Service Facility Location Postal Code | -             | 15     | When the postal code does not include a +4 value, use 9998. |

### 10.4.13 Loop 2430 Line Adjudication Information

The following tables define the specific details associated with Loop 2430 Line Adjudication Information.

**Notes:**

- A hyphen in the table below means N/A.
- A new table exists for each segment.

*Table 38. Loop 2430 SVD Line Adjudication Information*

| Page # | Loop ID | Reference | Name                            | Codes/Content | Length | Notes/Comments                                   |
|--------|---------|-----------|---------------------------------|---------------|--------|--|
| 342    | 2430    | SVD03-1   | Product or Service ID Qualifier | AD            | 2      | Must be "AD". Claims with "ER" will be rejected. |

| Page # | Loop ID | Reference | Name                             | Codes/Content | Length | Notes/Comments   |
|--------|---------|-----------|----------------------------------|---------------|--------|--|
| 344    | 2430    | SVD05     | Paid Service Unit Count          | -             | 15     | Must not exceed 4 whole numbers and one decimal position.<br>Must be a value greater than or equal to 0 and less than or equal to 9999.9 |
| 344    | 2430    | SVD06     | Bundled or Unbundled Line Number | -             | 6      | Must be an integer (no decimals).  |

**Note:** A hyphen in the table below means N/A.

*Table 39. Loop 2430 DTP Line Check or Remittance Date*

| Page # | Loop ID | Reference | Name                         | Codes/Content | Length | Notes/Comments             |
|--------|---------|-----------|------------------------------|---------------|--------|----------------------------|
| 351    | 2430    | DTP03     | Adjudication or Payment Date | -             | 35     | Must not be a future date. |

### 10.4.14 Transaction Set Trailer

The following table defines the specific details associated with the Transaction Set Trailer.

**Note:** A hyphen in the table below means N/A.

*Table 40. SE Transaction Set Trailer*

| Page # | Loop ID | Reference | Name                           | Codes/Content | Length | Notes/Comments   |
|--------|---------|-----------|--------------------------------|---------------|--------|--|
| 353    | N/A     | SE02      | Transaction Set Control Number | -             | 9      | Must have the same value as ST02. Must be greater than zero. |

## 11 Appendices

### 11.1 Implementation Checklist

For information regarding necessary steps for going live with EDI exchange, please visit the [Palmetto GBA EDI website](https://www.palmettogba.com/EDI) (<https://www.palmettogba.com/EDI>).

## 11.2 Transmission Examples

Please visit the [Palmetto GBA EDI website](https://www.palmettogba.com/EDI) (https://www.palmettogba.com/EDI).

## 11.3 Frequently Asked Questions

Frequently asked questions can be accessed at [Medicare FFS EDI Operations](https://www.cms.gov/ElectronicBillingEDITrans/) (https://www.cms.gov/ElectronicBillingEDITrans/) and the [Palmetto GBA EDI website](https://www.palmettogba.com/EDI) (https://www.palmettogba.com/EDI).

## 11.4 Acronym Listing

Table 41. Acronyms Listing and Definitions

| Acronym   | Definition  |
|-----------|---|
| 276       | 276 Claim Status Request transaction  |
| 277       | 277 Claim Status Response transaction   |
| 277CA     | 277 Claim Acknowledgement   |
| 835       | 835 Electronic Remittance Advice transaction  |
| 837P      | 837 Professional Claims transaction   |
| 837I      | 837 Institutional Claims transaction  |
| 837D      | 837 Dental Claims transaction   |
| 999       | Implementation Acknowledgment   |
| ASC       | Accredited Standards Committee  |
| CAQH CORE | Council for Affordable Quality Healthcare – Committee on Operating Rules for Information Exchange |
| CEDI      | Common Electronic Data Interchange  |
| CG        | Companion Guide   |
| CMS       | Centers for Medicare & Medicaid Services  |
| CMN       | Certificate of Medical Necessity  |
| COB       | Coordination of Benefits  |
| DME       | Durable Medical Equipment   |
| EDI       | Electronic Data Interchange   |
| ERA       | Electronic Remittance Advice  |
| FFS       | Fee-For-Service   |
| FISMA     | Federal Information Security Management Act   |
| GS/GE     | GS – Functional Group Header / GE – Functional Group Trailer                                      |
| HCPCS     | Healthcare Common Procedure Coding System   |
| HIPAA     | Health Insurance Portability and Accountability Act of 1996                                       |
| HTTP      | Hyper Text Transfer Protocol  |
| HTTPS     | Hyper Text Transfer Protocol Secure   |
| IOM       | Internet-only Manual  |



| Acronym | Definition  |
|---------|---|
| ISA/IEA | ISA – Interchange Control Header / IEA – Interchange Control Trailer  |
| MAC     | Medicare Administrative Contractor  |
| MBI     | Medicare Beneficiary Identifier   |
| MIME    | Multipurpose Internet Mail Extensions   |
| NCPDP   | National Council for Prescription Drug Programs   |
| NPI     | National Provider Identifier  |
| NSV     | Network Service Vendor  |
| PDAC    | Pricing, Data Analysis and Coding   |
| PECOS   | Provider Enrollment Chain and Ownership System  |
| PHI     | Protected Health Information  |
| PID     | Packet Identifier   |
| sFTP    | Secure File Transfer Protocol   |
| SOAP    | Simple Object Access Protocol   |
| ST/SE   | ST – Transaction Set Header / SE – Transaction Set Trailer  |
| TA2     | Interchange Acknowledgment  |
| TR3     | Technical Report Type 3   |
| TRN     | Transaction Acknowledgment report (CEDI proprietary report)   |
| WSDL    | Web Services Description Language   |
| X12     | A standards development organization that develops EDI standards and related documents for national and global markets. (See the official ASC X12 website.) |
| X12N    | Insurance subcommittee of X12   |

## 11.5 Change Summary

The following table details the version history of this CG.

*Table 42. Companion Guide Version History*

| Version | Date     | Section(s) Changed | Change Summary   |
|---------|----------|--------------------|------------------|
| 1.0     | May 2024 | All                | Created Document |